

Table 1. Range of Civil Penalties

Party Committing Violation	Amount of Civil Penalty (in Penalty Units)
RPAS Operators/Carriers	Maximum: 150,000 – 300,000 Moderate: 100,000 - 149,999 Minimum: 20,000 – 99,999
RPAS Manufacturers	Maximum: 150,000 – 300,000 Moderate: 100,000 - 149,999 Minimum: 10,000 – 99,999
RPAS Vendors	Maximum: 150,000– 300,000 Moderate: 100,000 - 149,999 Minimum: 10,000 – 99,999
RPAS Operator Personnel	Maximum: 10,000 – 15,000 Moderate: 8,000 – 9,999 Minimum: 5,000 – 7,999
Individuals, Owners, Mechanics, and Non-licensed Persons	Maximum: 10,000 – 12,000 Moderate: 6,000 – 9,999 Minimum: 1,000 – 5,999

Table 2. Recommended Sanctions

Violation	Recommended Sanction per Violation	Certificate Action
I. RPAS VENDORS & INDIVIDUALS		
1. GENERAL		
a. Sale of RPAS without GCAA approval	Minimum civil penalty	
b. Operating an unregistered RPAS	Minimum to moderate civil penalty	
c. Using the services of an uncertificated RPAS entity	Moderate to maximum civil penalty	
d. Operating an RPAS on a commercial basis without GCAA approval	Moderate to Maximum civil penalty	
e. Giving false or misleading information to the GCAA as to the purpose of an RPAS	Moderate to maximum civil penalty	

f. Failure to notify the Authority in writing of the existence of an association, group or club formed for a purpose that includes without limitation, the promotion, use or operation of RPAS, within three (3) months of its formation	Moderate civil penalty	
g. Operation of an RPAS in a manner as to invade the privacy of individuals or other entities, where a reasonable expectation of privacy can be expected	Minimum to maximum civil penalty	
h. Designing or manufacturing an RPAS or parts thereof without prior written approval of the Authority	Minimum to moderate civil penalty	
i. Failure to surrender suspended or revoked authorisation or other approval	Individual: Minimum to moderate civil penalty per day Entity: Moderate to maximum civil penalty per day	
2. RPAS OWNER/ OPERATOR REGISTRATION VIOLATIONS		
a. Operation of an unregistered aircraft		30- to 90-day suspension of pilot licence
b. Operation of an aircraft without an effective and valid Certificate of Airworthiness	Minimum to maximum civil penalty only if operator is different from pilot	30- to 90-day suspension of pilot certificate
c. Failure to return an amended, suspended, or revoked Certificate of Aircraft Registration	Minimum civil penalty	Revoke Certificate of Aircraft Registration
d. Use of registered aircraft to carry out or facilitate unlawful activities		Mandatory revocation of Certificate of Aircraft Registration and of all other certificates of aircraft registration issued to its owner, and revocation of all personnel licences and medical certificate Criminal prosecution.

II. RPAS OPERATORS, RPAS MANUFACTURERS & RPAS OPERATOR PERSONNEL		
1. Operations		
a. Operating an RPAS on commercial basis without GCAA approval	Minimum to moderate civil penalty	
b. Operating an RPAS for commercial purposes without a license or medical certificate	Minimum to moderate civil penalty	Up to 7-day suspension
c. Organizing or holding training for any aspect of RPAS operations without an RTOC issued by the Authority	Moderate to maximum civil penalty	
d. Operating an RPAS in restricted, prohibited, danger and special use areas without current GCAA approval	Moderate to Maximum civil penalty	
e. Operating an RPAS above 400ft A.G.L. without a GCAA approval	Moderate to maximum civil penalty	Up to 7-day suspension
f. Operating an RPAS BVLOS without GCAA approval	Moderate to maximum civil penalty	
g. Operating an RPAS in a manner as to cause hazards to people, property or other aircraft	Moderate to maximum civil penalty	
h. Operating a large RPAS without a license or medical certificate	Maximum civil penalty	Suspension until proper servicing, maintenance, repair, and inspection of facilities and equipment is provided to revocation
i. Operating an RPAS in specified conditions as requiring special authorizations as per Part 28 without the necessary approval from GCAA	Maximum civil penalty	180-day suspension to revocation
j. Operating or controlling more than one RPA with an RPS at any given time without the approval of GCAA	Maximum civil penalty	Suspension until compliance is demonstrated to revocation.
k. Operation of an RPAS not approved for its use by the GCAA	Maximum civil penalty	Suspension until compliance is demonstrated to revocation

l. Operating an RPAS within a 10KM radius from an airport or helipad having operational control with published Instrument Flight Procedure, or a 5KM radius from an airport or helipad without a published Instrument Flight Procedure without prior approval by the Authority	Moderate to maximum civil penalty	
m. Designing or manufacturing an RPAS or parts thereof without prior written approval of the Authority	Maximum civil penalty	180-day suspension to revocation
n. Operation of a RPAS not conforming to applicable noise and emissions requirements	Moderate to maximum civil penalty	
o. Unauthorised dropping of object from aircraft	Moderate to maximum civil penalty	30- to 60-day suspension
p. Operating an RPAS in populous areas without approval by the Authority	Maximum civil penalty	180-day suspension to revocation
q. Operating an RPAS without a properly trained observer where one is required as per Part 28	Moderate civil penalty	
r. Operating an RPAS outside the limitations or requirements of the Ops Specs or Approved Operations Manual	Maximum civil penalty	180-day suspension to revocation
2. Performance of maintenance		
a. Failure to maintain an RPAS as per the manufacturer's recommendations or requirements	Moderate to maximum civil penalty	Suspension until appropriate maintenance and inspection organisation is provided to revocation
b. Failure to maintain an RPAS as per the programme schedule approved for use by the GCAA	Moderate to maximum civil penalty	
c. Maintenance of RPAS by an unauthorized person	Maximum civil penalty	
d. Providing maintenance services for a large RPAS or type certificated RPAS without approval from the Authority	Moderate to Maximum civil penalty	
e. Modification of an RPAS to enhance or add on capabilities not originally included by the manufacturer without GCAA approval	Maximum civil penalty	180-day suspension to revocation

3. Records and reports		
a. Failure to notify the Authority in writing of an accident within three (3) days of the occurrence	Moderate to maximum civil penalty	180-day suspension to revocation
b. Failure to keep maintenance records	Maximum civil penalty	7-day suspension and thereafter until RPAS is in airworthy condition
c. Failure to make available reports of major alterations or repairs	Moderate to maximum civil penalty	Indefinite suspension to revocation
d. Failure to make required entry in aircraft log	Moderate to maximum civil penalty	
e. Failure to make available pilot records	Moderate to maximum civil penalty	Indefinite suspension to revocation
f. Failure to make available load manifests	Moderate to maximum civil penalty	Indefinite suspension to revocation
g. Deliberate violation - intentional false or fraudulent entry, reproduction, or alteration in record or report		Revocation
h. Deliberate violation - other		180-day suspension to revocation
4. Training programme		
a. Failure to maintain training programme	Minimum to moderate civil penalty	
b. Failure to train personnel in accordance to prescribed standards	Minimum civil penalty	
III. RPAS OPERATOR PERSONNEL		
1. Maintenance, including inspections		
a. Performing maintenance without a licence, rating, or authorisation	Maximum civil penalty	
b. Performing maintenance that exceeds limitations		30- to 45-day suspension
c. Failure to perform maintenance properly		30-to 120-day suspension

2. Records and reports		
a. Failure to make entries in aircraft log		15- to 60-day suspension
b. Failure to make entries in worksheets		15- to 30-day suspension
c. Failure to make entries in other maintenance records		15- to 30-day suspension
d. Failure to sign off work or inspection performed		15- to 30-day suspension
e. Failure to complete and sign maintenance release		15- to 30-day suspension
f. Intentional falsification of records or reports		Revocation
3. Operation without required licence, certificate, or rating		
a. Medical certificate		30- to 90-day suspension
b. Lack of type rating		180-day suspension to revocation
c. Missed proficiency check or line check		30- to 90-day suspension
d. Lack of current experience, initial or recurrent training		30- to 90-day suspension
4. ATC Clearances		
a. Deviation from ATC instruction or clearance		30- to 60-day suspension
b. Failure to check aircraft logs, flight manifests, weather, etc.		30- to 90-day suspension
c. Failure to maintain radio communications in airport traffic area		30- to 60-day suspension
d. Failure to comply with airport traffic pattern		30- to 60-day suspension
e. Failure to inspect, or improper inspection of, aircraft		15- to 30-day suspension
5. Taxiing and Take-off		

a. Failure to adhere to taxi clearance or instruction		30- to 60-day suspension
b. Take-off against instruction or clearance		60-to 120-day suspension
c. Take-off below weather minima		60-to 120-day suspension
d. Take-off in overloaded aircraft (in excess of maximum gross weight)		60-to 120-day suspension
6. En route		
a. Deviation from clearance or instruction		30- to 90-day suspension
b. Operating VFR within clouds		90-day suspension to revocation
c. Operation of unairworthy aircraft		30-to 180-day suspension
d. Unauthorised departure from flight deck		15- to 30-day suspension
e. Operating within restricted or prohibited area, or within positive control area without clearance		30- to 90-day suspension
f. Operating without required equipment		15-to 120-day suspension
g. Fuel mismanagement/exhaustion		30-to 150-day suspension
h. Operating contrary to NOTAM		30- to 90-day suspension
i. Unauthorised manipulation of controls		30- to 90-day suspension
7. Approach to landing		
a. Deviation from clearance or instruction in terminal area		30- to 90-day suspension
b. Approach below weather minimums		60-to 120-day suspension
c. Exceeding speed limitation in airport traffic areas		30- to 60-day suspension
8. Landing		

a. Landing at wrong airport		90-to 180-day suspension
b. Deviation from instrument approach procedure		30- to 90-day suspension
c. Failure to comply with preferential runway system		15-day suspension
d. Deviating from clearance or instruction		30- to 90-day suspension
9. Denial of authorised entry to flight deck by credentialed CAA inspector		30- to 60-day suspension
10. Flight and duty time limitations		15- to 90-day suspension
11. Operation without required licence, certificate, or rating		
a. Failure to have current medical certificate or licence or authorisation in possession	Minimum to moderate civil penalty	
b. Operation with known disqualifying physical disability		Revocation
c. Operation without valid medical certificate when not medically qualified or application for medical certificate deferred		Revocation
d. Operation without valid licence or certificate, where licence or certificate is required and issued	Minimum to moderate civil penalty	
12. Failure to keep manual current	Minimum civil penalty	30- to 90-day suspension
13. Instructors for licences, ratings, authorisations, and endorsement		
a. False endorsement of a student licence, rating, authorisation, or record		Revocation
b. Exceeding flight time limitations or other training time limitations		30- to 90-day suspension
c. Instruction in aircraft, and/or course for which he or she is not rated		60-to 180-day suspension
14. Operational violations		

a. Operation without valid airworthiness or registration certificate		30- to 90-day suspension
b. Failure to close flight plan or file arrival notice	Minimum civil penalty	
c. Operation without valid pilot licence (no licence issued)	Maximum civil penalty	
d. Operation while pilot licence is suspended		Emergency revocation
e. Operation without pilot or medical certificate in personal possession	Minimum civil penalty	
f. Operation without valid medical certificate (no medical certificate issued)		Revocation
g. Operation for compensation or hire without commercial pilot certificate	Moderate to maximum civil penalty	
h. Operation without type or class rating		60-to 120-day suspension
i. Failure to comply with special conditions of medical certificate		90-day suspension to revocation
j. Operation with known physical deficiency		90-day suspension to revocation
k. Failure to obtain pre-flight information		30- to 90-day suspension
l. Deviation from ATC instruction or clearance		30- to 90-day suspension
m. Taxiing, take-off, or landing without a clearance where ATC tower is open		30- to 90-day suspension
n. Failure to maintain radio communications in airport traffic area		30- to 60-day suspension
o. Failure to comply with airport traffic pattern		30- to 60-day suspension
p. Operation in terminal control area without or contrary to a clearance		60- to 90-day suspension

q. Failure to maintain altitude in airport traffic area		30 to 60-day suspension
r. Exceeding speed limitations in traffic area		30 to 60-day suspension
s. Operation of unairworthy aircraft		30 to 180-day suspension
t. Failure to comply with Airworthiness Directives		30 to 180-day suspension
u. Operation without required instruments and/or equipment		30- to 90-day suspension
v. Exceeding operating limitations		30- to 90-day suspension
w. Operation within prohibited or restricted area, or within positive control area		30- to 90-day suspension
x. Failure to adhere to right-of-way rules		30- to 90-day suspension
y. Failure to comply with VFR cruising altitudes		30- to 90-day suspension
z. Failure to maintain required minimum altitudes over structures, persons, or vehicles over:		
i. Congested area		60- to 180-day suspension
ii. Sparsely populated area		30- to 120-day suspension
aa. Failure to maintain radio watch while under IFR		30- to 60-day suspension
bb. Failure to report compulsory reporting points under IFR		30- to 60-day suspension
cc. Failure to display position lights		30- to 60-day suspension
dd. Failure to maintain proper altimeter settings		30- to 60-day suspension

ee. Weather operations:		
i. Failure to comply with visibility minimums in controlled airspace		60- to 180-day suspension
ii. Failure to comply with visibility minimums outside controlled airspace		30- to 120-day suspension
iii. Failure to comply with distance from clouds requirements in controlled airspace		60- to 180-day suspension
iv. iv. Failure to comply with distance from clouds requirements outside of controlled airspace		30- to 120-day suspension
ff. Failure to comply with IFR landing minimums		45- to 180-day suspension
gg. Failure to comply with instrument approach procedures		45- to 180-day suspension
hh. Careless or reckless operations:		
i. Fuel mismanagement/exhaustion		30-to 150-day suspension
ii. Wheels-up landing		30- to 60-day suspension
iii. Short or long landing		30- to 90-day suspension
iv. Landing on or taking off from closed runway		30- to 60-day suspension
v. Landing on or taking off from ramps or other improper areas		30-to 120-day suspension
vi. Taxiing collision		30- to 90-day suspension
vii. Leaving aircraft unattended with motor running		30- to 90-day suspension
viii. Propping aircraft without a qualified person at controls		30- to 90-day suspension

ix.	Unauthorised dropping of object from aircraft		30- to 60-day suspension
x.	Unauthorised towing		30- to 60-day suspension
xi.	Acrobatic flight on airway, over congested area, below minimum altitude, etc.		90-to 180-day suspension
xii.	Taking off with insufficient fuel		30-to 150-day suspension
xiii.	Operating so as to cause a collision hazard		60-to 180-day suspension
xiv.	Taxiing aircraft off runway, taxiway, or ramp		30- to 90-day suspension
15. ID Plate Violations			
a.	Improper removal, changing or placing of identification information on a product		
i.	Inadvertent	Minimum civil penalty	
ii.	Intentionally misrepresenting identity of product	Maximum civil penalty	Revocation
b.	Improper removal or installation of identification plate		
i.	Inadvertent	Minimum civil penalty	
ii.	Intentionally misrepresenting identity of product	Maximum civil penalty	Revocation